

How Harm Reduction Fits into the SBIRT Model

Jim Winkle, MPH
SBIRT Oregon



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Institute for Research, Education, & Training in Addictions.
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Disclosures

I have no financial conflicts of interest to disclose

My background

- Trained hundreds of clinical team members in SBIRT, 2008 - present
- Primary care, Pediatrics, women's health clinics, ERs
- Built EHR tools, screening app
- Currently consult



Workflows	Screening forms	Clinic tools	Online curriculum	Video demonstrations	Billing & documentation	Screening app	ANTECEDENT
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SBIRT (Screening, Brief Intervention, Referral to Treatment) represents an innovative, evidence-based approach to addressing unhealthy alcohol use with medical patients. Its core components include:

- Regular and universal screening in the medical setting, regardless of medical complaint.
- Universal and routine use of validated screening tools.
- Consideration of substance use as a continuum rather than a dichotomous "addicted versus not addicted" judgment.
- Use of patient-centered change talk versus directive, prescriptive talk.
- Facilitating smooth, bidirectional transitions between primary care and specialty addiction treatment.

While SBI towards adult alcohol use ranks among the highest-performing preventive services based on cost effectiveness and health impact, it also remains among the least implemented. Common perceived barriers include limited time during the patient visit, lack of knowledge and training, fearing negative patient reactions, and feeling uncomfortable discussing substance use.

This website presents information and tools designed to counter these barriers, and emphasizes a team-based approach to implementing SBIRT. Our materials cover drug use as well, despite evidence that brief interventions may not impact self-reported drug use among adult patients.

This website was created in the Department of Family Medicine at Oregon Health and Science University and acts as a resource for primary care clinics and emergency departments throughout Oregon and the United States.

Video examples:

A video still showing a doctor in a white coat talking to a patient in a clinical setting.

Clinic workflow

A video still showing a doctor in a white coat talking to a patient in a clinical setting.

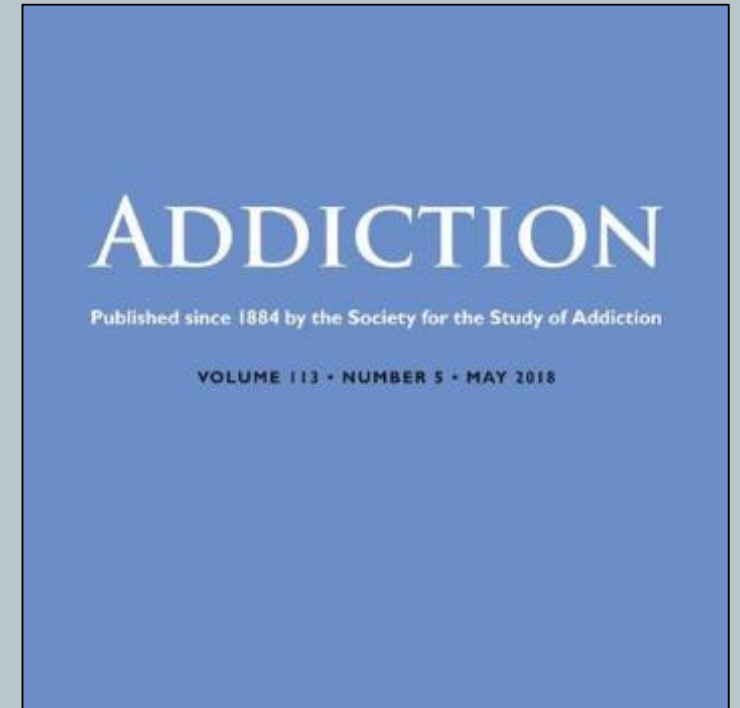
Brief intervention: Steve

A video still showing a doctor in a white coat talking to a patient in a clinical setting.

Brief intervention: Tom

New study

- Study question: is BI associated with treatment for AUD within 365 days?
- Analysis of VA pt records 2009-2013
- 830,825 outpatients screened positive for unhealthy alcohol use, 74% had documented BI within 0-14 days
- **Result:** BI was associated with lower likelihood of receiving specialty treatment



Frost MC, Glass JE, Bradley KA, Williams EC. “Documented brief intervention associated with reduced linkage to specialty addictions treatment in a national sample of VA patients with unhealthy alcohol use with and without alcohol use disorders”. *Addiction*. 2019 Oct 22.

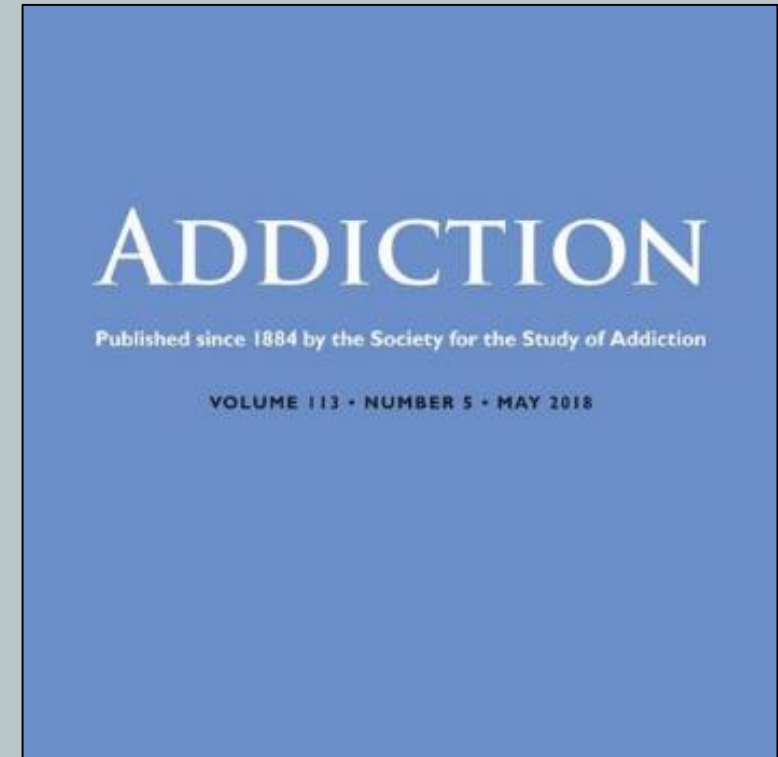
Table: Percent of VA pts who received treatment within 365 days

	Adjusted* %	95% CI	Rate ratio	P-value
Overall sample: (N= 1,172,606 positive screens)				
No documented BI	12.6	(12.5-12.7)	.84	<0.001
Documented BI	10.5	(10.4-10.6)		
AUD diagnosis in the past year: (N= 421,244 positive screens)				
No documented BI	19.9	(19.7-20.1)	.83	<0.001
Documented BI	16.5	(16.4-16.6)		

*“Adjusted for sex, age, race/ethnicity, marital status, VA eligibility status, mental health and drug use disorder diagnoses, tobacco use, AUDIT-C category, alcohol use disorder diagnosis, alcohol-specific condition, and fiscal year in which positive AUDIT-C screen occurred.”

Study takeaways

- BIs were defined as giving information and advice
- Beware: poor BIs may cause harm with pts with SUDs?
- At a minimum, traditional BI+RTs don't seem to be cutting it



Evidence of SBIRT towards unhealthy alcohol use

	SBI (for self-reported reduced use)	SBI + RT (for receipt of specialty treatment)
Adults	Moderate evidence (USPSTF, 2018)	Meta-analysis: no evidence*
Adolescents	Insufficient evidence (USPSTF, 2018)	?
Pregnancy	Moderate evidence (USPSTF draft, 2018)	?

Evidence of SBIRT towards illicit drug use

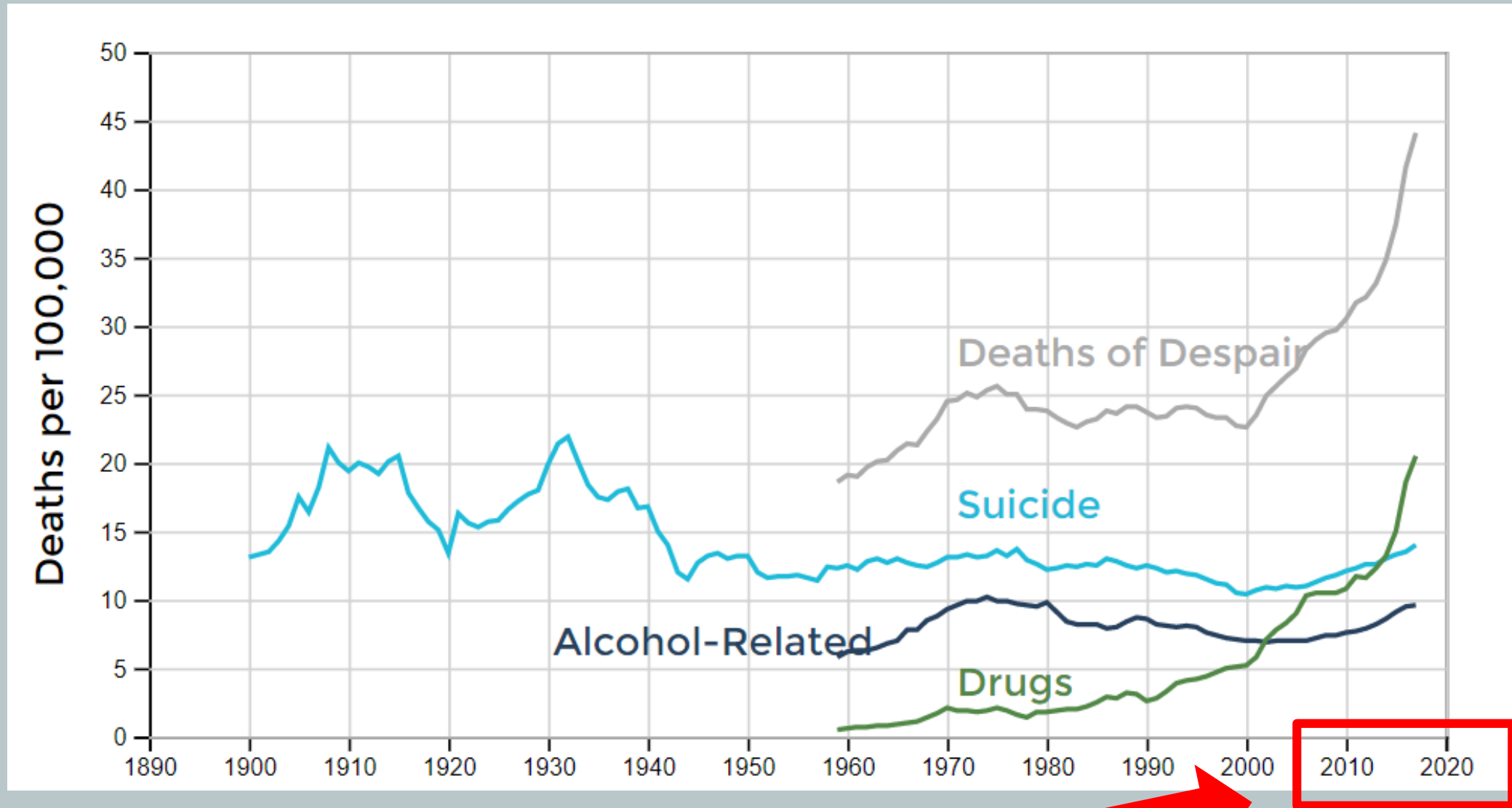
	SBI (for self-reported reduced use)	SBI + RT (for receipt of specialty treatment)
Adults	Moderate evidence (USPSTF draft, 2018)	?
Adolescents	Insufficient evidence (USPSTF draft, 2019)	?
Pregnancy	?	?

Meanwhile, in the U.S:

- 10% of adults have substance use disorder at some point in their lives
- 88,000 die from alcohol-related causes annually, making alcohol the third leading preventable cause of death
- Prevalence of injection drug use:
Last 12 mths: 750,000. Lifetime: 6.5 million
- 42,000 die from opioid overdoses annually



Deaths of Despair*, 1900-2017, Age-Adjusted Rates

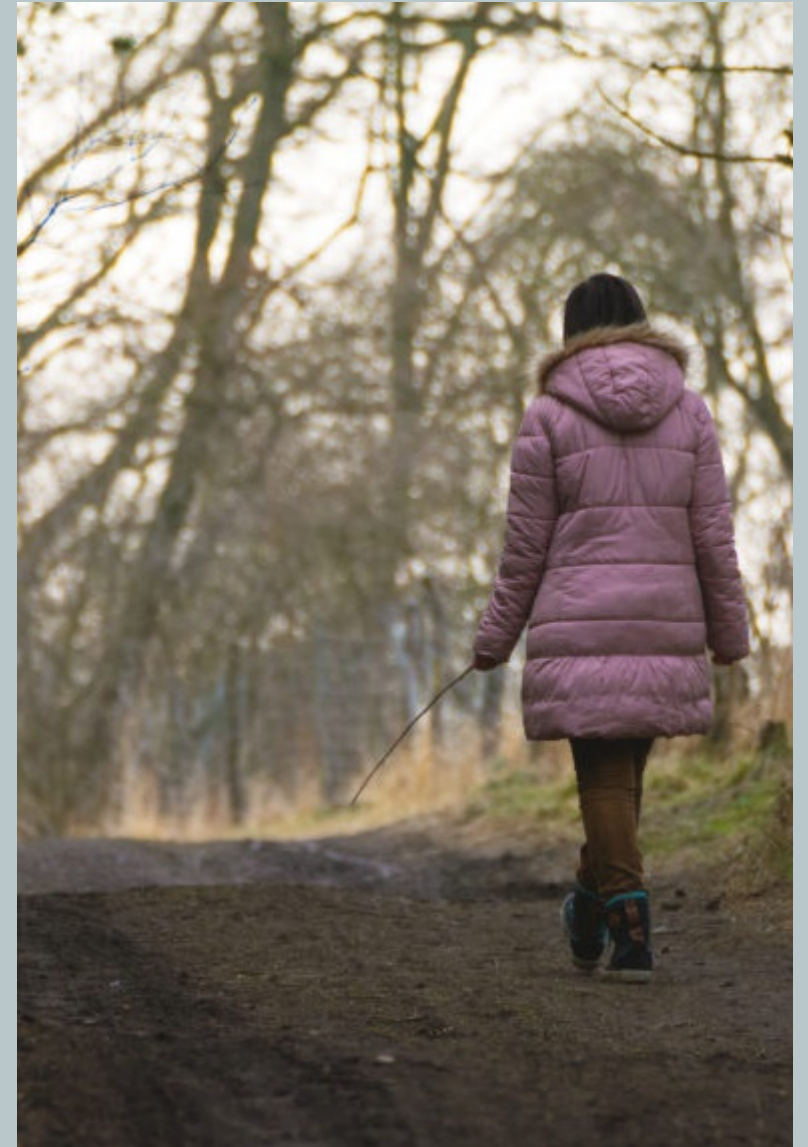


*among white non-Hispanic Americans in midlife

Social Capital Project, 2019

Why this presentation?

- Recognize that traditional SBIRT isn't helping pts with SUDs access treatment
- Consider an alternative with promise: integrating HR into SBIRT.
 - Reduce harm
 - Provide pathways to treatment
- Counter some misunderstandings between HR advocates and SBIRT, MI





This presentation will . . .

- Assume attendees are familiar with SBIRT
- Assume there is a spectrum of understanding of HR among attendees
- Not assume to represent HR in any official capacity
- Focus on pts with SUDs
- Focus on primary care

Outline:

How harm reduction can inform how we address SUDs in clinic settings:

- The role of stigma
- The HR philosophy

Implementing a HR-informed SBIRT model:

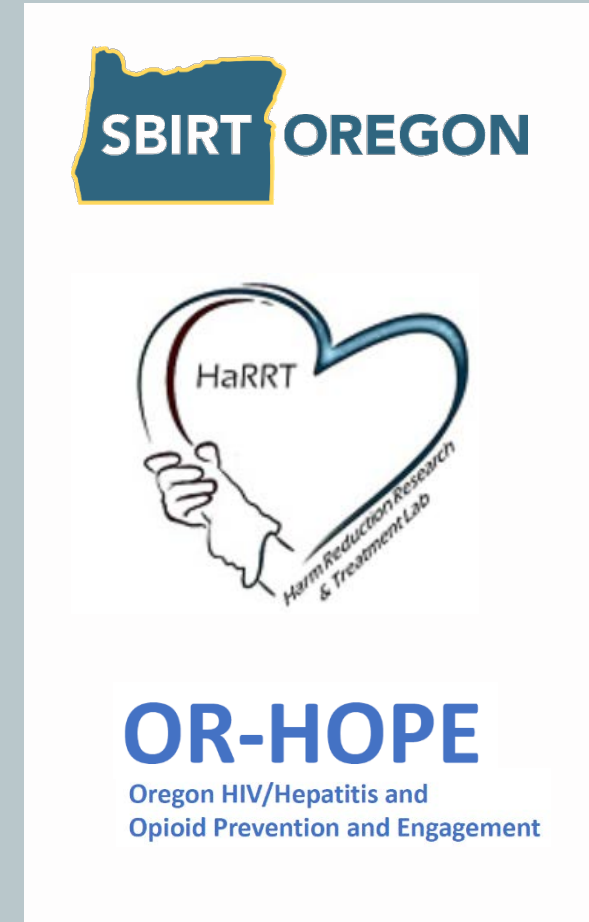
- Tailor the brief intervention
- Re-define referral to treatment

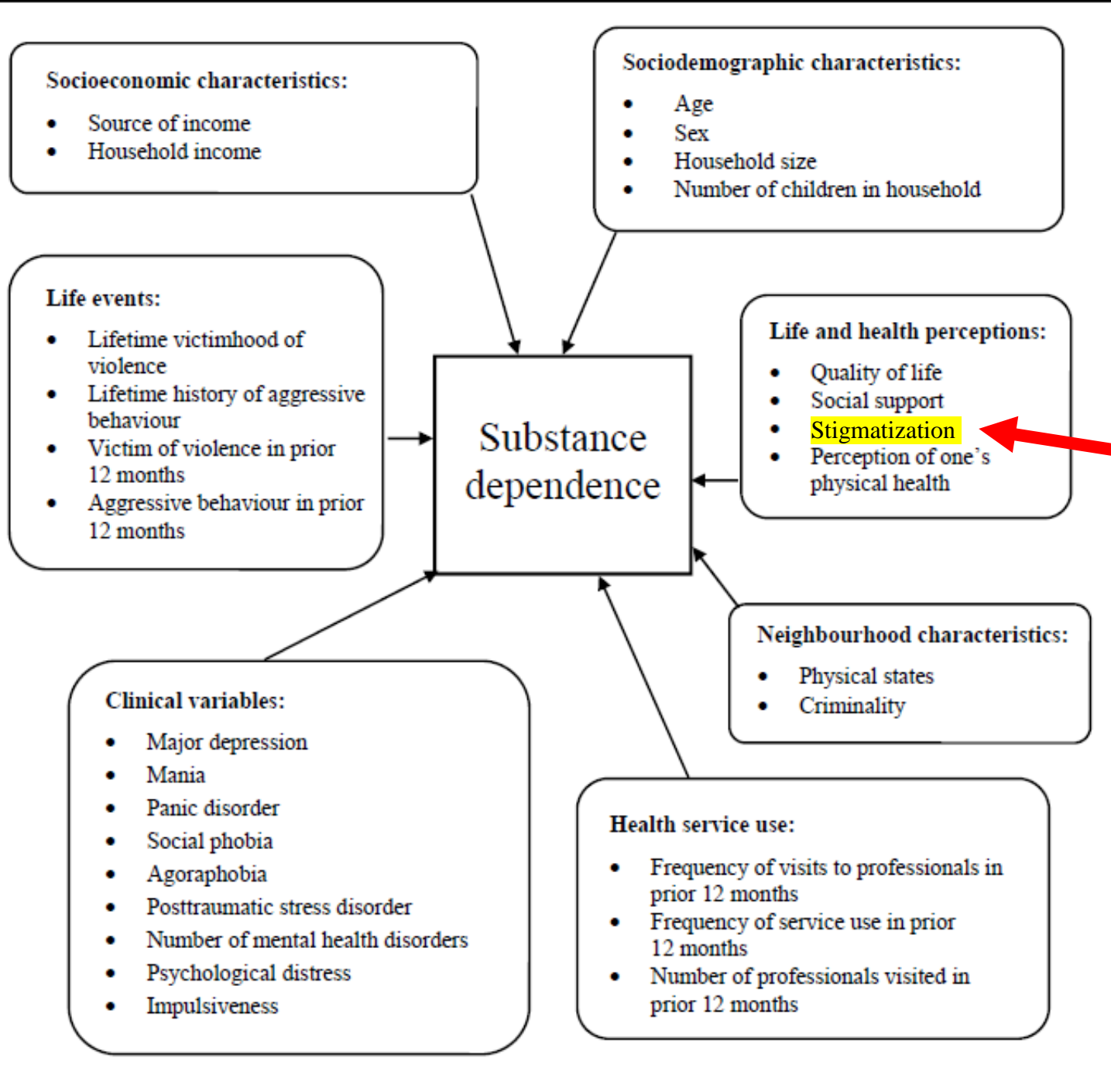


Acknowledgements

This presentation uses information from:

- Susan Collins, PhD, Harm Reduction Research and Treatment (HaRRT) Center, U. of Washington
- SBIRT Oregon training curriculum
- HOPE project, Oregon Health Authority
- Original content created for this presentation





Conclusion:
“Stigmatization was
the strongest
predictor of
substance
dependence”

Figure from:

Fleury, M; Grenier, G; Bamvita JM, Perreault, M; Caron, J.
Predictors of Alcohol and Drug
Dependence. CanJPsychiatry
2014



Stigma:

"A social process which can reinforce relations of power and control. Leads to status loss and discrimination for the stigmatized."

- Link and Phelan, 2001

Stigma

- Originates from the Greek practice of branding people who were enslaved
- Based on attributes:
 - Housing, race, class, etc.
- Based on behaviors:
 - Substance use, sex, mental health issues, etc.
- Discrimination is an actualization of stigma



Social stigma and drug addiction

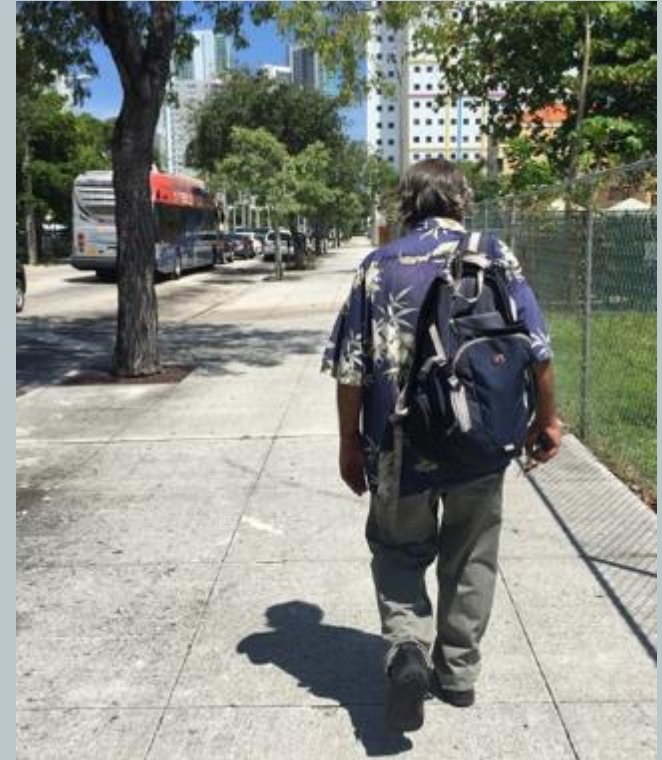
Many Americans:

- Indicate that they desire social distance from individuals addicted to drugs
- See them as unworthy of receiving assistance (e.g., finding jobs or housing),
- Regard them as dangerous, unpredictable, and lacking self-control



Internalized stigma

- Acts as a barrier to seeking health care, disclosing use, harm reduction, and treatment.
- Diminishes self-worth and self-esteem
- Stigma may inflict greater psychological pain than SUD itself
- May increase substance use as a way of coping with internalized stigma and to boost self-esteem



Stigma from providers

- Systemic review: stigma towards pts with SUDs common among providers
- More highly stigmatized than other health conditions

Linked to:

- Less likelihood of offering harm reduction services, ART
- Less personal engagement and diminished empathy
- Discouraging and marginalizing problematic substance use



Provider stigma: connected to generalizations

Pts with SUDs are more likely to be perceived by providers as:

- Less honest or trustworthy
- More likely to overuse system resources
- Less vested in their own health, adhere to recommended care
- More likely to abuse the system through drug-seeking and diversion



Patient perspectives

“There’s a stigma attached to it. Like, the fact that you maybe had cancer or you had heart disease, like you could say, well, that’s not my fault. As opposed to the way the world looks at substance abuse as ‘this is your fault, you did this to yourself’ type of mentality.”

- NY-2 Patient

Patients are sensitive to feeling accused of bringing their substance use upon themselves.

Patient perspectives

“The minute they find out that you’re [an] injection user, the doctors, you can see it right in their face. They change their whole attitude. They don’t want to help you. It’s weird. I hate telling the doctor that I use drugs. Hate it. Their whole attitude changes...”

- Donna

Patients can perceive subtle changes in behavior from medical professionals after disclosing injection drug use.

Stigma conveyed non-verbally

Common theme in PWID focus groups:
looks from clinicians and staff:

- Being “looked down on” by medical personnel
- “Look at [us] like we’re garbage.”
- “They give you dirty, snotty looks”



Impact on patients

Pts who perceive stigma are more likely to:

- Conceal their substance use from providers
- Report poor rapport with their provider
- Avoid or interrupt treatment
- Not come back for follow up



Patient perspectives

“When you go to a hospital, and you’re a drug addict – you are treated horribly. Your life isn’t as valuable, you’re a second or third class citizen, and it’s sad. Most people using a needle know. A lot of people just won’t go. They’d literally rather die than face that. It’s sad.”

- Melissa

PWIDs often delay care rather than experience stigma from medical professionals.

Patient perspectives

“When it comes down to it, a lot of the times that I need to get medical attention, I put it off and put it off and put it off, because I don’t want to face the embarrassment that they make me feel, and that’s not fair. It’s not.”

- Stacey

PWIDs often delay care rather than experience stigma from medical professionals.

Patient perspectives

“I don’t want to tell them I’m a drug user if there’s something really wrong with me. You know, I need that issue taken care of...It makes me want to lie and not be honest.”

- Donna

“She wouldn’t keep my appointments. She would care less. She wouldn’t go the nine yards or go out the way for me.”

- Richard

“There’s just some things I wouldn’t tell a doctor.”

- Aaron

Not disclosing
substance use can
reflect an attempt to
receive higher quality
care

More reasons PWIDs may avoid disclosing

- Fear that their access to insurance may be threatened
- Fear that they will be reported to police
- May not be ready to admit openly to themselves



Patient perspectives

“Well it’s so hard you know ‘cause you’re abusive, you’re loud . . . you just walked 30, 40 blocks, three or four different places probably and finally you get to this point and you’re trying to get to see him to help you out and they interrogate you and ‘you’re double doctoring’. You’re this or you’re that.”

- Anonymous

Pts addicted to drugs acknowledged that people living with addiction can behave in ways that shape provider expectations.

Patient perspectives

“I had an abscess on my head. My whole face was swollen. I was in a lot of pain. And they would not give me any pain medication because I have an opiate problem. Your arm has to be like ripped off before they’ll give you a Tylenol.”

- Megan

Assumptions about “med seeking” may result in lower quality of care and more rushed visits.

Factors that mitigate provider stigma

- Existing knowledge about SUDs
- Existing beliefs about attribution
- Personal experience working with PWIDs
- Training and education on attitudes and knowledge

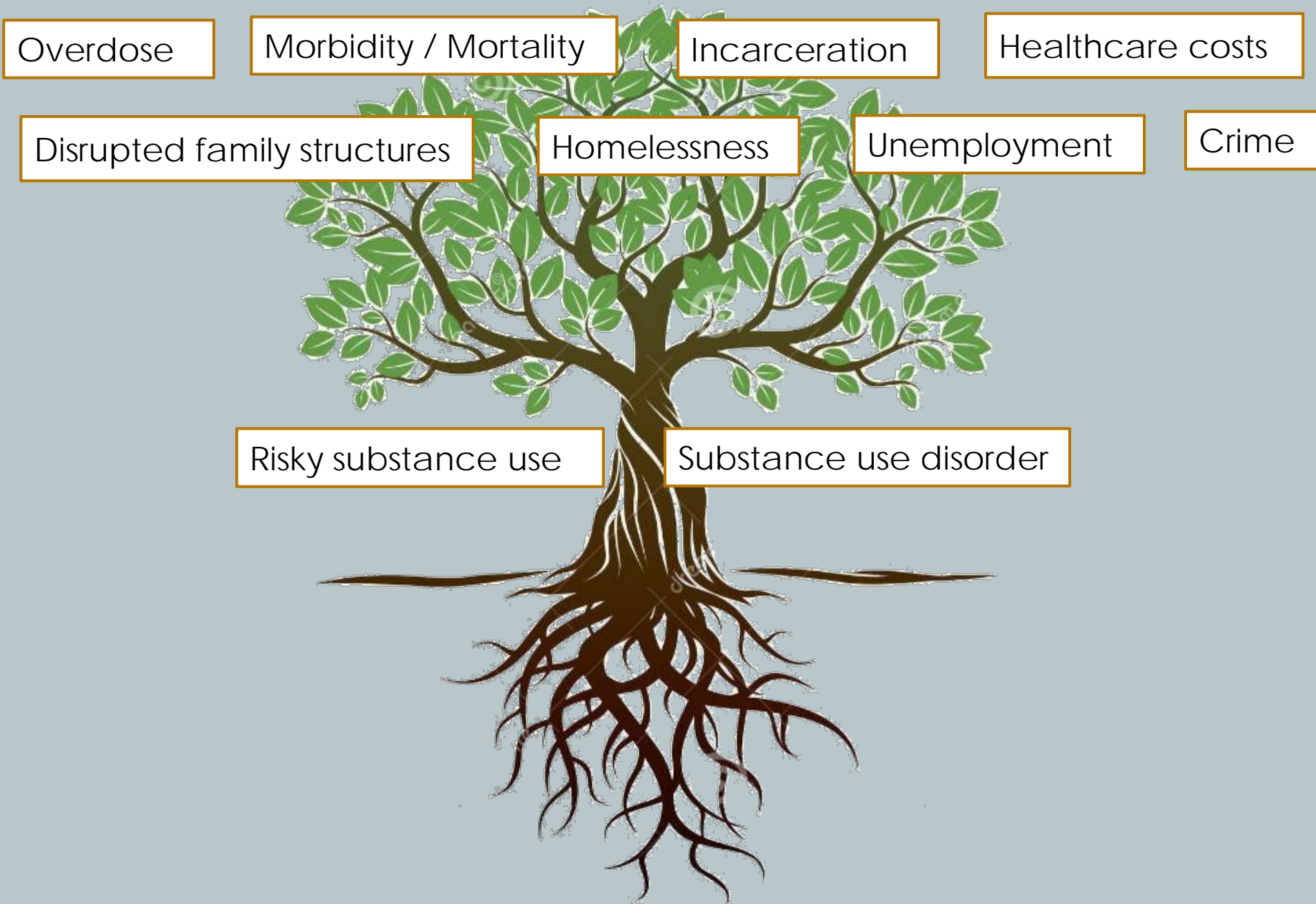


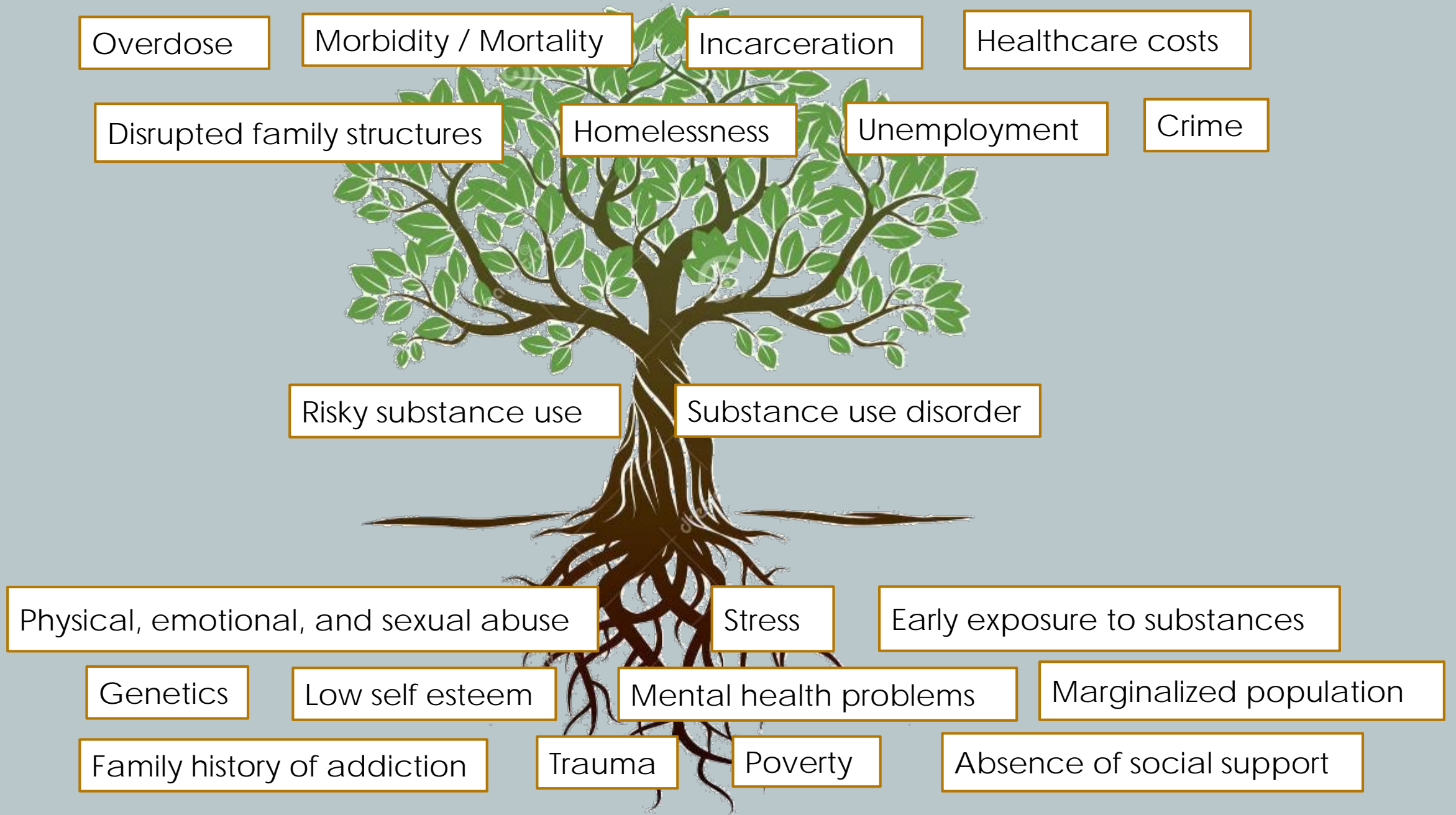
Roots of stigma: cause and control

Stigma associated with:

- The perception that an individual is responsible for **causing** their problem
- The perception that an individual is able to **control** their problem
- Using **language** that perpetuates stigma







Cause of OUDs: role of the drug industry

JAMA:

- “The pharmaceutical industry invests tens of millions of dollars annually in direct-to-physician marketing of opioids.”
- “Marketing of opioid products to physicians was associated with increased opioid prescribing and, subsequently, with elevated mortality from overdoses.”

Original Investigation | Substance Use and Addiction

Association of Pharmaceutical Industry Marketing of Opioid Products With Mortality From Opioid-Related Overdoses

Scott E. Hadland, MD, MPH, MS, Akshay Rivera-Aguirre, MPP, Brandon D. L. Marshall, PhD, Magdalena Corda, DPH, MPH

Abstract

IMPORTANCE Prescription opioids are involved in 40% of all deaths from opioid overdose in the United States and are commonly the first opioids encountered by individuals with opioid use disorder. It is unclear whether the pharmaceutical industry marketing of opioids to physicians is associated with mortality from overdoses.

OBJECTIVE To identify the association between direct-to-physician marketing of opioid products by pharmaceutical companies and mortality from prescription opioid overdoses across US counties.

DESIGN, SETTING, AND PARTICIPANTS This population-based, county-level analysis of industry marketing information used data from the Centers for Medicare & Medicaid Services Open Payments database linked with data from the Centers for Disease Control and Prevention on opioid prescribing and mortality from overdoses. All US counties were included, with data on overdoses from August 1, 2014, to December 31, 2016, linked to marketing data from August 1, 2013, to December 31, 2015, using a 1-year lag. Statistical analyses were conducted between February 1 and June 1, 2018.

MAIN RESULTS AND MEASURES County-level mortality from prescription opioid overdoses, total cost of marketing of opioid products to physicians, number of marketing interactions, opioid prescribing rates, and sociodemographic factors.

RESULTS Between August 1, 2013, and December 31, 2015, there were 434,754 payments totaling \$39.7 million in nonresearch-based opioid marketing distributed to 67,507 physicians across 2208 US counties. After adjustment for county-level sociodemographic factors, mortality from opioid overdoses increased with each 1 SD increase in marketing value in dollars per capita (adjusted relative risk, 1.09; 95% CI, 1.05–1.12), number of payments to physicians per capita (adjusted relative risk, 1.18; 95% CI, 1.14–1.21), and number of physicians receiving marketing per capita (adjusted relative risk, 1.12; 95% CI, 1.08–1.16). Opioid prescribing rates also increased with marketing and partially mediated the association between marketing and mortality.

CONCLUSIONS AND RELEVANCE In this study, across US counties, marketing of opioid products to physicians was associated with increased opioid prescribing and, subsequently, with elevated mortality from overdoses. Amid a national opioid overdose crisis, reexamining the influence of the pharmaceutical industry may be warranted.

JAMA Network Open. 2019;2(3):e1804001.
Published on March 22, 2019. doi:10.1001/jamanetworkopen.2018.0307

Key Points

Question To what extent is pharmaceutical industry marketing of opioids to physicians associated with subsequent mortality from prescription opioid overdoses?

Findings In this population-based, cross-sectional study, \$39.7 million in opioid marketing was targeted to 67,507 physicians across 2208 US counties between August 1, 2013, and December 31, 2015. Increased county-level opioid marketing was associated with elevated overdose mortality 1 year later, an association mediated by opioid prescribing rates, per capita, the number of marketing interactions with physicians demonstrated a stronger association with mortality than the dollar value of marketing.

Meaning The potential role of pharmaceutical industry marketing in contributing to opioid prescribing and mortality from overdoses merits ongoing examination.

[+ Invited Commentary](#)

[+ Supplemental content and Audio](#)

Author affiliations and article information are listed at the end of this article.

Stigma and the perception of individual control

- SUDs reflect a person's "impaired ability to exert self-control. This impairment in self-control is the hallmark of addiction"
- People with SUDs often have to use just to feel 'normal'
- People with SUDs have difficulty controlling their need to use, despite the problems it causes for themselves and their loved ones.



Surgeon Generals report

- Latest science defines SUDs as a chronic brain disease.
- “Brain imaging shows physical changes that are critical to judgment, decision-making, and behavior control”
- Changes in the brain persist long after substance use stops
- Influenced by genetic, developmental, behavioral, social, and environmental factors



How much do you control your own behavior?

Use of smartphones associated with:

- Reduced cognitive capacity
- Imbalance in the brain chemistry
- Lowered intelligence
- Insomnia
- Brain tumors

Brain Tumors And Cell Phone Use Found To Be Linked



A study has found that cell phone usage may be linked to a higher risk of developing glioma, a type of brain tumor that is often deadly. Photo courtesy of Shutterstock

Stages of change

- Patients typically move sequentially on their path to maintenance
- One session unlikely to immediately produce action
- Triggering events can set patient back to earlier stage



Stigma and the role of language

Research shows language can perpetuate or alleviate stigma

Characteristics of affirming language:

- Person-first
- Technical language with a single, clear meaning instead of colloquial definitions
- Non-sensational and non-fear-based



Examples

Outdated language	Person-first, affirming language
Injection Drug Users (IDU)	People who inject drugs (PWID)
Drug abuse, dependence, drug habit	Substance use disorder
Drug abuser, addict, alcoholic	Person with a substance use disorder
Clean and sober	Person in recovery
Dirty or clean needles	Used or new needles
Dirty or clean urine	Positive or negative urine drug screen
Medication-Assisted Treatment (MAT)	Medication for Opioid Use Disorder (MOUD)
High risk	Individuals at risk of acquiring HIV, Hep C, etc.

Patient perspectives

“I go in to see my family doctor, when he comes through the door he’s got a smile on his face ‘How you doing [Bob]?’

You go in through the emergency, it’s ‘What’s the problem?’ it’s not a person thing it’s an object thing.”

- Anonymous

PWIDs were more satisfied with visits during which they were treated as equals worthy of the same dignity as providers themselves.

Patient perspectives

“I OD’d and I woke up 3 days later in intensive care . . . and one of the social workers there came through and asked the right questions and she got one of the [community health workers] . . .to come to see me ‘cause I was suicidal, I wanted to check out. She came over 3 days in a row to see me and just she got me off [to treatment]. I spent three years out there, got my head back together, my life back together . . . but that was because I was being treated as an individual. And I felt cared for.”

- Anonymous

A provider’s commitment to rapport development can encourage PWIDs to commit to a long-term relationship and comply with recommendations

Outline:

How harm reduction informs how we address SUDs in clinic settings:

- The role of stigma
- The HR philosophy ←

Implementing a HR-informed SBIRT model:

- Tailor the brief intervention
- Re-define referral to treatment



Harm reduction

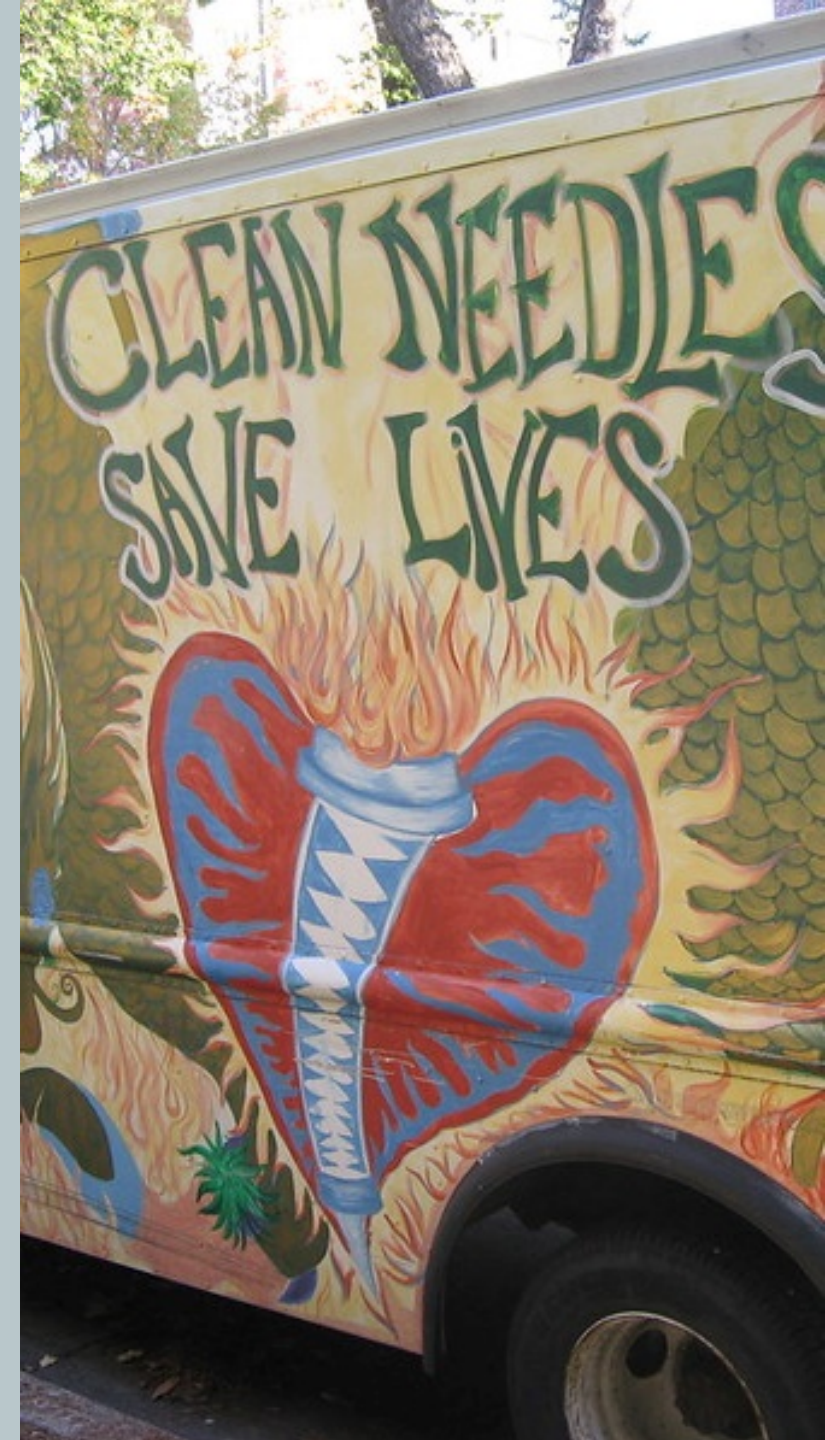
- Both a philosophy and a series of interventions:
 - “Aimed at reducing the negative effects without necessarily extinguishing the behavior.”
- Rose in prominence in the 1970s and 1980s in response to Hep B and HIV
- Principles have been applied to other risk behaviors: sex work, IPV, eating disorders, tobacco use, etc.



Harm reduction

- Applied on policy, population, community or individual levels
- Different than tolerating substance use. Instead: actively working with clients/pts
- More of an attitude than a fixed set of rules or approaches

Collins, et al, 2019. Collins et al, 2011.
Marlatt 1998. Slide: OHA HOPE Project



HR and substance use

- Abstinence is neither prioritized nor assumed to be the goal of the patient
- Result: HR broadens the spectrum of patients we can engage with and help
- “Meeting the patient where they’re at”



Some harm reduction beliefs

Substance use:

Has pros and
cons

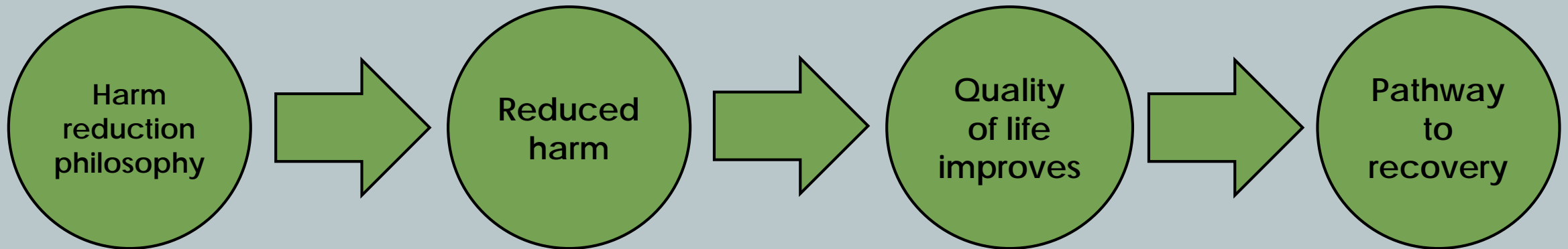
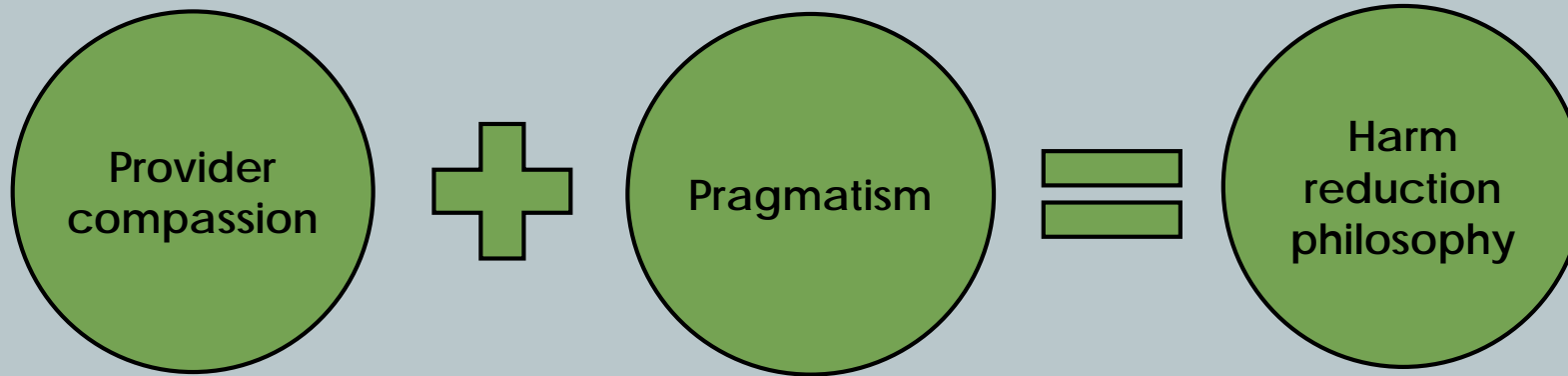
Is here to stay

Is complex

Exists in social
context

Is not the
client

Harm Reduction theory and practice



Different approaches with pts with SUDs

Traditional SBIRT	Harm reduction
Ultimate goal: abstinence	Goal: reducing harm
Perceives use and problems are in 1:1 agreement	Recognizes risk of problems is variable and individually based
Provider “prescribes” treatment	Provider offers science and knowledge to help patient assess their own risk of harm
Provider knows best	Patient knows better
Abstinence is the only, or best way forward	Keeping the pt alive and on a path towards reducing harm is the best way forward

More characteristics of a HR approach

- Respect for patient autonomy, goals, and values
- Accepting ambivalence
- Recognizing the patient is the expert
- Empathy, non-judgment, respect



"Our clients
are very sick
and they
often lie to
us."

Disease Model

Moral Model

and sometimes they tell the truth

**Moving towards harm
reduction 😊**

Discussion

“ It is valuable for providers to understand that harm reduction can present experiences of moral ambiguity, since they are essentially supporting individuals in health behaviors that are likely to result in negative health outcomes. ”

Does HR seem morally ambiguous?

Does HR fit into the medical model?

Outline:

Describe how harm reduction informs how to address SUDs in clinic settings:

- The role of stigma
- The HR philosophy

Implementing a HR-informed SBIRT model:

- **Tailor the brief intervention** ←
- Re-define referral to treatment



Discussing substance use in primary care settings

- Clinicians typically have 15-minute visits
- Patients often present with multiple complaints, and often don't include substance use
- Behavioral health specialists may not be available
- Result: Clinicians may have 3-5 minutes to discuss substance use



Provider barriers to addressing substance use with primary care pts

- Lack of time/competing priorities
- Fear of opening “Pandora’s box”
- Inadequate training
- Lack of referral resources
- Lack of behavioral health providers
- Staff turnover



Remedies to barriers

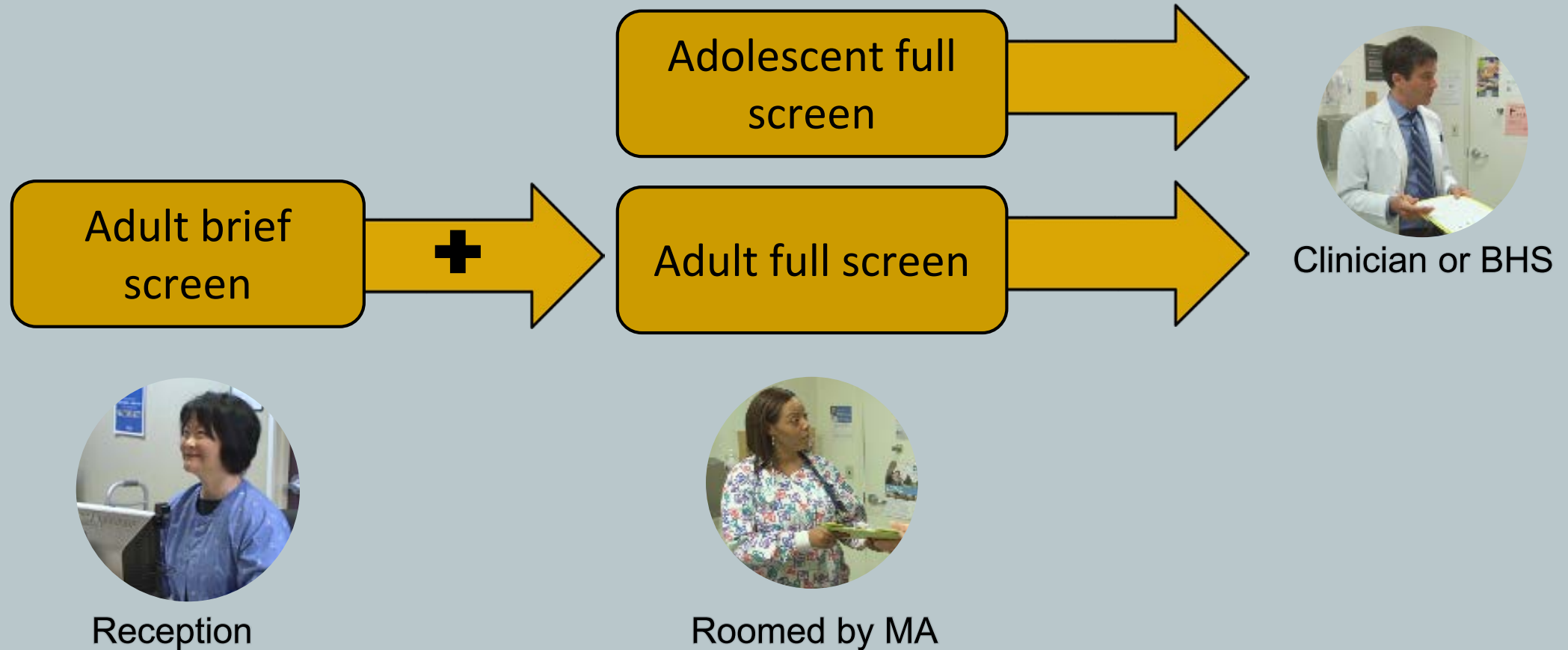
Barrier	Remedy
Lack of time/competing priorities	3-5 minute conversation can be effective
Fear of opening “Pandora’s box”	Pts with SUDs don’t want providers to solve their problems
Inadequate training	2-hour training can impact provider behavior
Lack of referral resources	Pts with SUDs not likely not ready to accept referrals
Lack of behavioral health providers	Using MI with PWIDs is an important provider skill
Staff turnover	Identify and use clinic champion

What primary care providers can do for pts with SUDs

- Screen for unhealthy substance use
- Treat complaints related to use
- Discuss reducing harm from use
- Offer medications for SUDs, PrEP, treat HIV, treat HCV
- Provide general care
- Help patients forge a path to recovery
- Enhance the pt's motivation to change behavior



Common SBIRT workflow in primary care



SBIRT: Adult Brief Screen

One alcohol question →

One drug question →

Annual questionnaire

Once a year, all our patients are asked to complete this form because drug use, alcohol use, and mood can affect your health as well as medications you may take. Please help us provide you with the best medical care by answering the questions below.

Patient name: _____

Date of birth: _____

Are you currently in recovery for alcohol or substance use? ☐ Yes ☐ No

Alcohol:

One drink =



12 oz.
beer



5 oz.
wine



1.5 oz.
liquor
(one shot)

	None	1 or more
MEN: How many times in the past year have you had 5 or more drinks in a day?	<input type="radio"/>	<input type="radio"/>
WOMEN: How many times in the past year have you had 4 or more drinks in a day?	<input type="radio"/>	<input type="radio"/>

Drugs: Recreational drugs include methamphetamines (speed, crystal) cannabis (marijuana, pot), inhalants (paint thinner, aerosol, glue), tranquilizers (Valium), barbiturates, cocaine, ecstasy, hallucinogens (LSD, mushrooms), or narcotics (heroin).

	None	1 or more
How many times in the past year have you used a recreational drug or used a prescription medication for nonmedical reasons?	<input type="radio"/>	<input type="radio"/>

Mood:	No	Yes
During the past two weeks, have you been bothered by little interest or pleasure in doing things?	<input type="radio"/>	<input type="radio"/>
During the past two weeks, have you been bothered by feeling down, depressed, or hopeless?	<input type="radio"/>	<input type="radio"/>

SBIRT: Full DAST Screen

- **D**rug **A**buse **S**creening **T**est
- DAST-10 version
- Validated for adults
- Cut-off score of 3 has high validity for consequences associated with drug use



Drug Screening Questionnaire (DAST)

Using drugs can affect your health and some medications you may take. Please help us provide you with the best medical care by answering the questions below.

Patient name: _____

Date of birth: _____

- | | |
|---|---|
| <input type="checkbox"/> methamphetamines (speed, crystal) | <input type="checkbox"/> cocaine |
| <input type="checkbox"/> cannabis (marijuana, pot) | <input type="checkbox"/> narcotics (heroin, oxycodone, methadone, etc.) |
| <input type="checkbox"/> inhalants (paint thinner, aerosol, glue) | <input type="checkbox"/> hallucinogens (LSD, mushrooms) |
| <input type="checkbox"/> tranquilizers (valium) | <input type="checkbox"/> other _____ |

How often have you used these drugs? ☐ Monthly or less ☐ Weekly ☐ Daily or almost daily

1. Have you used drugs other than those required for medical reasons?	No	Yes
2. Do you abuse more than one drug at a time?	No	Yes
3. Are you unable to stop using drugs when you want to?	No	Yes
4. Have you ever had blackouts or flashbacks as a result of drug use?	No	Yes
5. Do you ever feel bad or guilty about your drug use?	No	Yes
6. Does your spouse (or parents) ever complain about your involvement with drugs?	No	Yes
7. Have you neglected your family because of your use of drugs?	No	Yes
8. Have you engaged in illegal activities in order to obtain drugs?	No	Yes
9. Have you ever experienced withdrawal symptoms (felt sick) when you stopped taking drugs?	No	Yes
10. Have you had medical problems as a result of your drug use (e.g. memory loss, hepatitis, convulsions, bleeding)?	No	Yes

0 1

Have you ever injected drugs? ☐ Never ☐ Yes, in the past 90 days ☐ Yes, more than 90 days ago

Have you ever been in treatment for substance abuse? ☐ Never ☐ Currently ☐ In the past

I	II	III	IV
0	1-2	3-5	6+




SBIRT: Full AUDIT Screen

- **A**lcohol **U**se **D**isorders
Identification **T**est
- Created by WHO, accurate across many cultures/nations
- 10 questions - multiple choice
- Addresses alcohol only

Alcohol screening questionnaire (AUDIT)

Our clinic asks all patients about alcohol use at least once a year. Drinking alcohol can affect your health and some medications you may take. Please help us provide you with the best medical care by answering the questions below.

Patient name: _____
Date of birth: _____

One drink equals:  12 oz. beer  5 oz. wine  1.5 oz. liquor (one shot)

1. How often do you have a drink containing alcohol?	Never	Monthly or less	2 - 4 times a month	2 - 3 times a week	4 or more times a week
2. How many drinks containing alcohol do you have on a typical day when you are drinking?	0 - 2	3 or 4	5 or 6	7 - 9	10 or more
3. How often do you have four or more drinks on one occasion?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily
4. How often during the last year have you found that you were not able to stop drinking once you had started?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily
5. How often during the last year have you failed to do what was normally expected of you because of drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily
6. How often during the last year have you needed a first drink in the morning to get yourself going after a heavy drinking session?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily
7. How often during the last year have you had a feeling of guilt or remorse after drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily
8. How often during the last year have you been unable to remember what happened the night before because of your drinking?	Never	Less than monthly	Monthly	Weekly	Daily or almost daily
9. Have you or someone else been injured because of your drinking?	No		Yes, but not in the last year		Yes, in the last year
10. Has a relative, friend, doctor, or other health care worker been concerned about your drinking or suggested you cut down?	No		Yes, but not in the last year		Yes, in the last year
	0	1	2	3	4

Have you ever been in treatment for an alcohol problem? ☐ Never ☐ Currently ☐ In the past

I II III IV
M: 0-4 5-14 15-19 20+
W: 0-3 4-12 13-19 20+



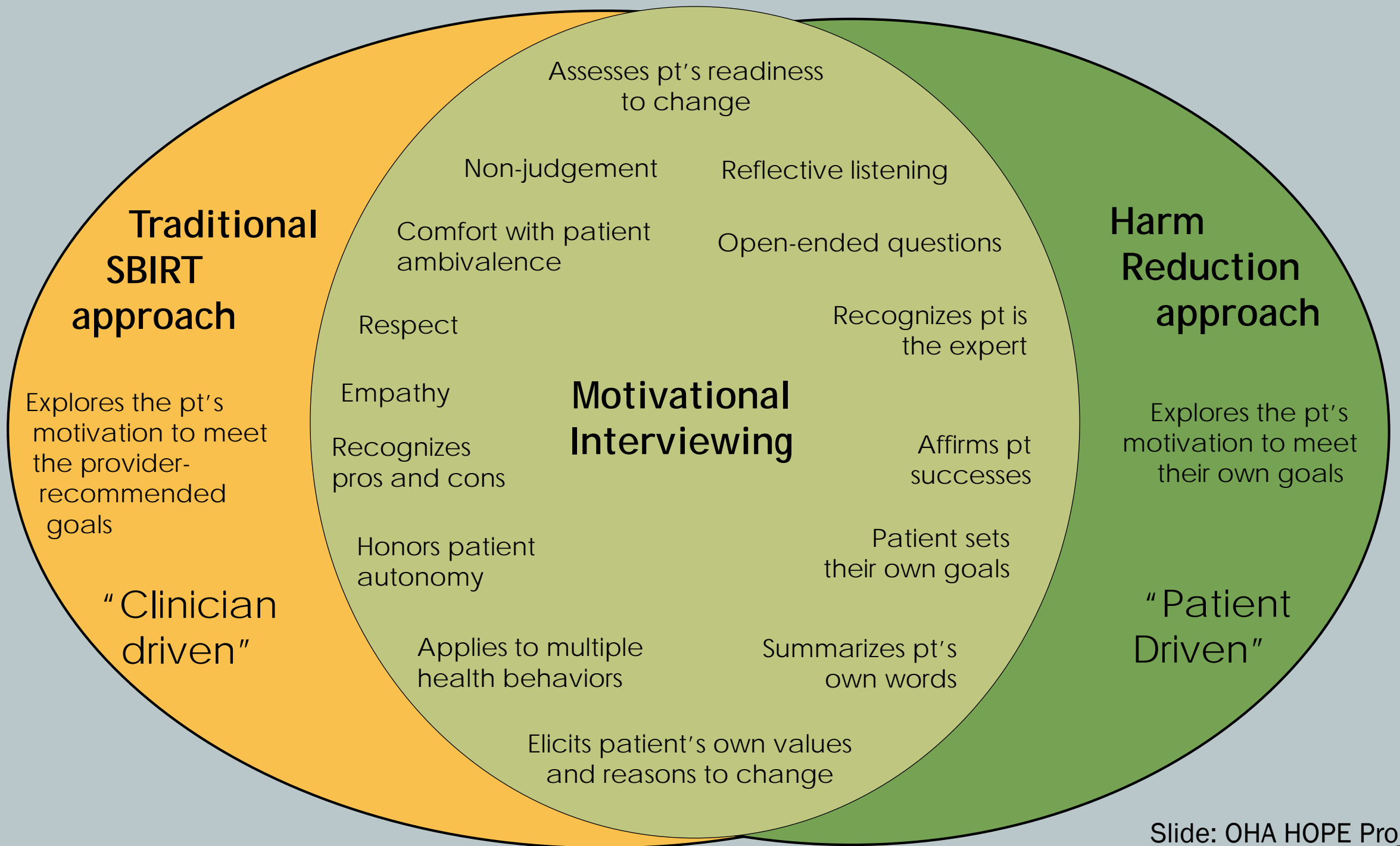
Traditional brief intervention: “Brief Negotiated Interview”

**Raise
subject**

**Provide
feedback**

**Enhance
motivation**

**Negotiate
plan**



HR-informed brief intervention

**Raise
subject**

- Ask permission to discuss health behavior
- Be transparent about your role

Transparency example

Thank you for giving me permission to discuss your substance use with you. Just so you know, I will not ask or advise you to stop or cut down your substance use or change your use in any way you do not want to. Instead, my focus is to understand what **your** goals, intentions, or visions for your future are. I can help you assess the relative risks of your substance-use behavior so you can make your own informed decisions about your substance use. Ultimately, I want to help you improve your quality of life on your own terms and on your own timeline.

How does that sound to you?

HR-informed brief intervention

**Raise
subject**

- Ask permission to discuss health behavior
- Be transparent about your role
- Elicit the pt's own description of their use, including perceived pros and cons

Eliciting the pt's own description of their use

"Tell me a little bit about your substance use"

- Info: what people are using, how, when, with whom, what effects it has, and how they feel about it.
- Elicit pros and cons: "I'm curious: what do you like about your use? What worries you about your use?"



Traditional brief intervention: “Brief Negotiated Interview”

**Raise
subject**

**Provide
feedback**

Traditional brief intervention: “Brief Negotiated Interview”

**Raise
subject**

**Share
information**

HR-informed brief intervention

**Share
information**

- Explain any connection between risk behavior and health complaint
- Share information about risks of use, low-risk limits. Ok to express concern
- Ask the pt what they think of the information
- ~~• Give recommendation or advice~~

Pitfalls of giving advice

- Patients with SUDs already feel trapped - they want options
- Traditional SBIRT only offers two: reduce use or abstain
- Giving advice or recommendation implies judgement, risks furthering stigma
- A HR approach expands the number of options a patient may choose from



Does not giving advice conflict with funders?

BRIEF INTERVENTIONS

SBIRT: Brief Intervention

Brief interventions are evidence-based practices design to motivate individuals at risk of substance abuse and related health problems to change their behavior by helping them understand how their substance use puts them at risk and to reduce or give up their substance use. Healthcare providers can also use brief interventions to encourage those with more serious dependence to accept more intensive treatment within the primary care setting or a referral to a specialized alcohol and drug treatment agency.

In primary care settings, brief interventions last from 5 minutes of brief advice to 15-30 minutes of brief counseling. Brief interventions are not intended to treat people with serious substance dependence, but rather to treat problematic or risky substance use. Skillfully conducted, brief interventions are essential to successful SBIRT implementation. The two most common behavioral therapies used in SBIRT programs are brief versions of cognitive behavioral therapy and motivational interviewing, or some combination of the two.

Does not giving advice conflict with reimbursement?

Service	Payer	Code	Description
Full screen + brief intervention	Med & Com.	CPT 99408	<ul style="list-style-type: none">15-30 minutes spent administrating and interpreting a full screen, plus performing a brief intervention.
	Medicare	G0396	
	Med & Com.	CPT 99409	<ul style="list-style-type: none">Same as above, only ≥ 30 minutes.
	Medicare	G0397	

- Codes above should be appended to E/M service with modifier 25
- ICD-10 diagnosis codes are poorly suited for most SBIRT patient scenarios and can break confidentiality with adolescent patients. Two options:
 - Z13.89: "Encounter for screening for other disorder"
 - Z13.9: "Encounter for screening, unspecified"

Possible documentation

The patient completed a AUDIT screening tool and the total score suggests an increased risk of health related problems related to substance use. In discussing this issue, the pt disclosed that they drink approximately 20 drinks per week. **I shared the low-risk guidelines** of no more than 4 drinks in one session and no more than 14 drinks per week.

The patient's readiness to change was 3 on a scale of 0 – 10. We explored why it was not a lower number and discussed the own patient's motivation for change. The patient identified a plan of counting his drinks and not keeping alcohol at home. Total time administering the screening tool, plus delivering a face-to-face brief intervention with the patient was greater than 15 minutes

Traditional brief intervention: “Brief Negotiated Interview”

**Raise
subject**

**Share
information**

**Enhance
motivation**

HR-informed brief intervention

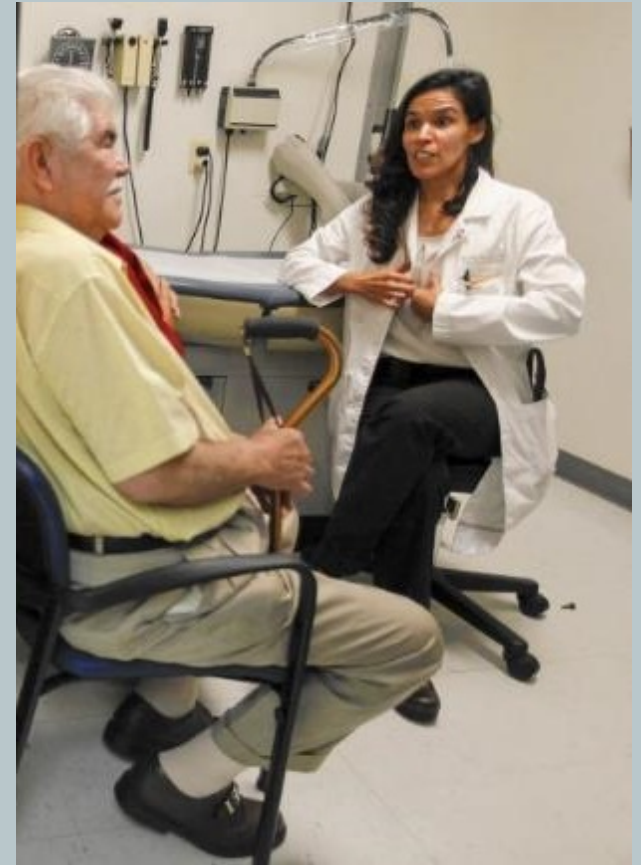
**Enhance
motivation**

- Summarize pt's opinion, perceived pros and cons of use
- Ask what the pt wants to change, if anything.

Replace advice with an open-ended question

Questions that elicit pt's own goals:

- Over the next few (weeks, months) what would you like to see happen for yourself?
- What would you like to do about your use?
- Is there anything you'd like to change about your drinking/drug use?
- Where would you like to go with your drinking/drug use?



Examples of pt-driven HR goals

For PWIDs:

- Inject more safely
- Minimize sharing works or needles
- Carry Naloxone
- Use less
- Accept MOUD or other treatment
- Learn abscess care
- Get more regular medical care and/or mental health care



Example: relative-risk hierarchy of injection sites

Goal: reduce risk of returning to the hospital

Upper arm	Lower arm	Hands	Feet	Legs	Groin	Neck
<ul style="list-style-type: none">• Safest• Closer to heart		<ul style="list-style-type: none">• Harder to spot• Use small needle gauge	<ul style="list-style-type: none">• Slower healing• You need them!	<ul style="list-style-type: none">• Greater risk of clots• Hit downstream	<ul style="list-style-type: none">• Harder to "hit blind"• Can puncture femoral artery/nerve	<ul style="list-style-type: none">• Risk of hitting carotid artery makes this a last resort• Could cause death

Survey of Infectious Disease physicians:

N = 672

	Comfortable / Very comfortable	Neutral	Uncomfortable / Very uncomfortable	Not sure
"How comfortable are you assessing patient injection practices and offering counseling regarding safe practices to offset infection risk?"	43%	27%	23%	7%

	Yes	No
"Have you ever prescribed naloxone for opioid overdose reversal?"	22%	78%

Examples of pt-driven HR goals

For pts with AUDs:

- Count your drinks
- Eat before you start drinking, and during
- Avoid non-beverage alcohol
- Space your drinks
- Accepting meds for AUD or other treatment
- Avoid mixing drugs with alcohol
- Drink in a safe place
- Choose periodic abstinence
- Choose lower-alcohol content beverages



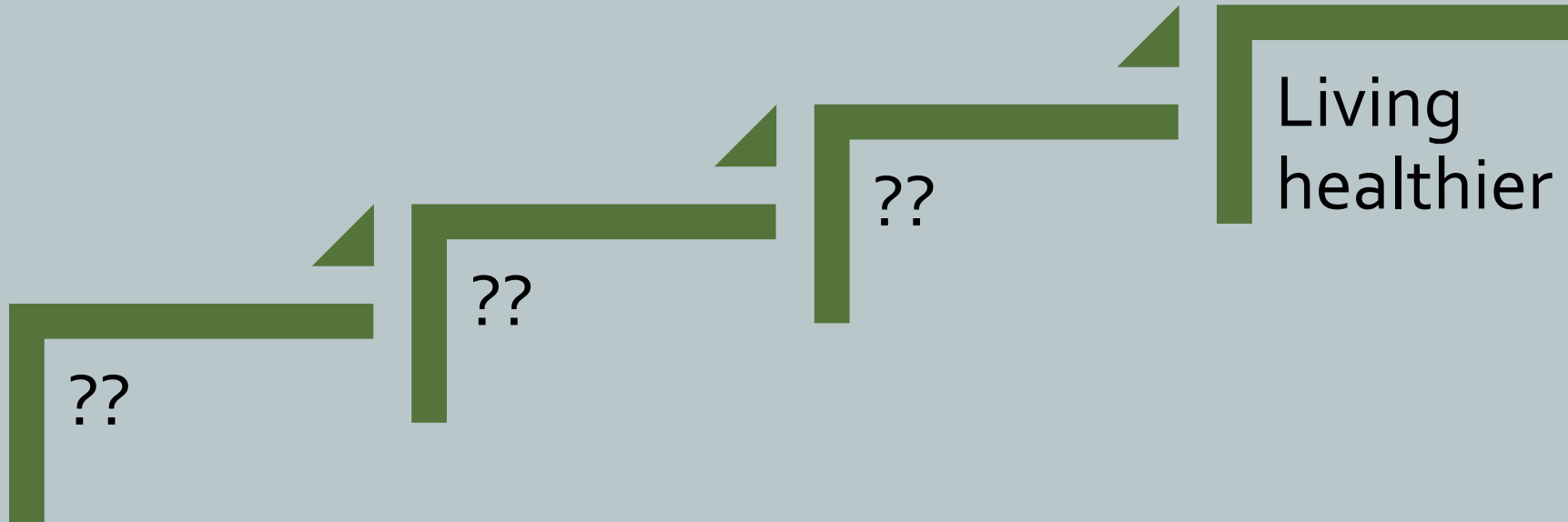
Goals are more achievable when they are:

- Well defined
- Focused on reducing harm or quality of life
- Doable in a timeframe
- Patient-driven



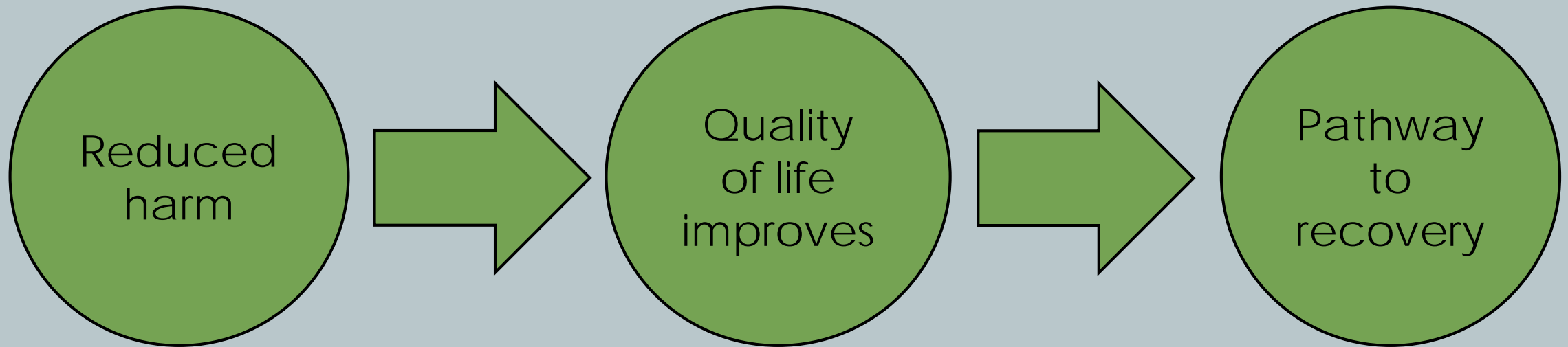
Helping pts with abstract, or large goals

Patient: "I want to live healthier."



Clinician: "That's a great goal. It's also a big goal. So, let's put that up here on the top step. What could be the first step towards living healthier?"

Remember:



HR-informed brief intervention

Enhance motivation

- Ask and summarize pt's perceived pros and cons of use
- Elicit a goal
- Use the Readiness Ruler (0 – 10 scale)
“Why not a lower number?”

Traps to avoid when discussing behavior change

- Explaining why the patient should change
- Telling the patient how to change
- Repeatedly emphasizing the importance of changing
- Reacting to ambivalence with persuasion



Common patient reactions to the directive style

Angry	Afraid
Agitated	Helpless, overwhelmed
Oppositional	Ashamed
Discounting	Trapped
Defensive	Disengaged
Justifying	Not come back – avoid
Not understood	Uncomfortable
Procrastinate	Not heard

Traditional brief intervention: “Brief Negotiated Interview”

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Traditional brief intervention: “Brief Negotiated Interview”

**Raise
subject**

**Share
information**

**Enhance
motivation**

**Identify
plan**

HR-informed brief intervention

Identify plan

- If patient sounds ready, ask: “What would carrying out a plan of change look like for you?”
- Affirm pt’s readiness to change
- Ask to schedule follow-up

Follow up

A continuing cycle of:

- Collaborative tracking of patient-selected metrics
- Eliciting pt-driven harm reduction goals
- Discussing safer-use strategies



A HR-informed brief intervention

Raise subject

Ask permission to discuss use. Be transparent about your role. Elicit the pt's own description of their use, including perceived pros and cons.

Share information

Explain any connection between use and health complaint. Share information about risks of use, low-risk limits. Ok to express concern. Ask the pt what they think of the information.

Enhance motivation

Summarize pt's perceived pros and cons of use. Ask what the pt wants to change. Use the Readiness Ruler (0 – 10 scale) Ask, "why not a lower number?"

Identify plan

If patient sounds ready, ask: "What would carrying out a plan of change look like for you?" Affirm pt's readiness to change and their plan. Ask to schedule follow-up

HR philosophy:

**Defer to the
patient's wisdom**

The more responsibility, autonomy and respect people feel they have, the more they will step up and forge their own pathway to recovery.

OARS skills: HR approved!

- **O**pen-ended questions
- **A**ffirmations
- **R**eflective listening
- **S**ummaries



OARS Example

Clinician What brings you here today? **[open-ended question]**

Patient Well, I don't really know. I guess I want to try to do something different with my drinking.

Clinician You are interested in making a change in your drinking, but you are unsure what that would look like. **[simple reflection]**

Patient Yeah, it's just I have been drinking for so long, I am not sure whether I can really make a change. It's really hard to imagine doing things differently at this point. I also really like drinking to help me wind down. Drinking makes me feel good—so much more relaxed. But I don't want to go out of this place in a body bag.

Clinician You know your drinking is harming you, but it's hard to know where to start. **[complex reflection]**

Patient I am worried about my health. I am also worried about my relationship with my girlfriend. We get into fights when we have been drinking too much. I don't want to hurt her. Sometimes I wake up and I am not sure what I did the night before. I want to maybe get a little more control over it.

Clinician You have a lot of good things in your life that are important to you—your girlfriend, your health--and you want to protect those. You don't want your drinking to threaten those. That said, you are a little unsure exactly what kinds of changes you want to make. Feeling more in control of your drinking is one option you have considered. Does that sound about right?

[summary]

Outline:

How harm reduction informs how we address SUDs in clinic settings:

- The role of stigma
- The HR philosophy

Implementing a HR-informed SBIRT model:

- Tailor the brief intervention
- **Re-define referral to treatment** ←



Traditional referral to treatment

Delivered through the brief intervention - good!

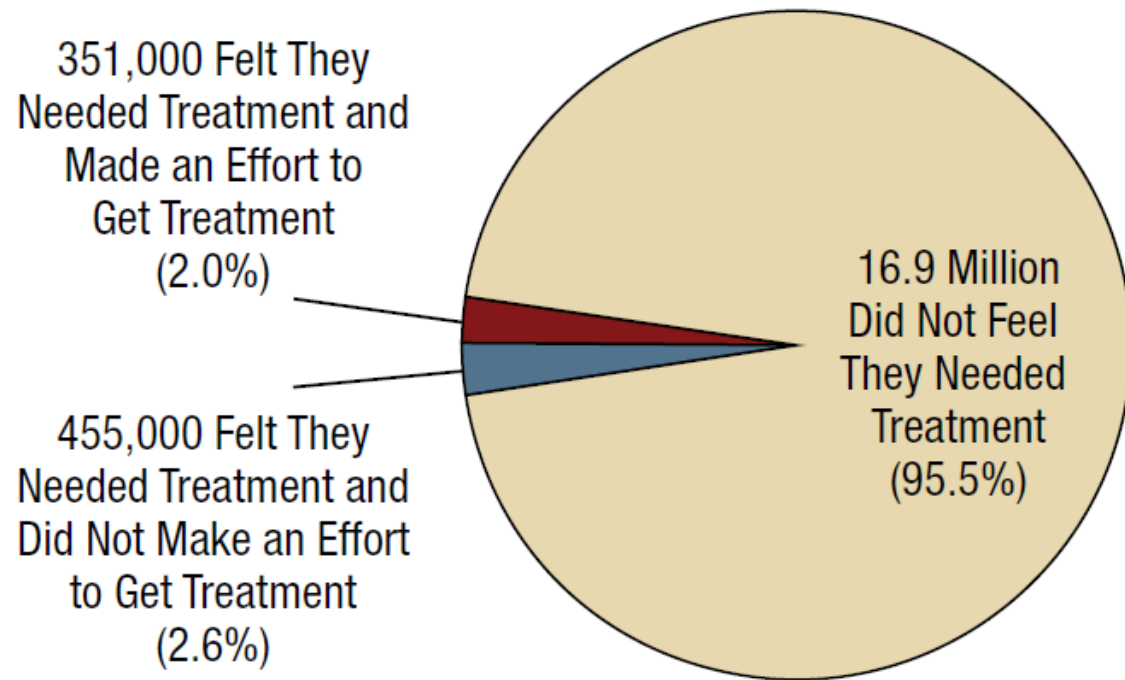
But, the referral comes from the clinician - not HR-informed.

Patient-centered is not the same as patient-driven

Traditional RT remains clinician-driven



Figure 14. Perceived Need for Substance Use Treatment among Adults Aged 18 or Older Who Needed but Did Not Receive Substance Use Treatment in the Past Year: 2016



17.7 Million Adults Needed but Did Not Receive Substance Use Treatment

Note: The percentages do not add to 100 percent due to rounding.

**Most
people
with SUDs
do not
believe
they need
treatment!**

More reasons pts with SUDs may not accept treatment

Want to keep use
hidden from partner

Privacy concerns

Excessive paperwork

Long waiting lists

Fear of losing job while
in treatment

Costs

Fear stigma from
society, friends and
loved ones

Fear of withdrawal

Language barriers

Fear of relapse

Treatment not available

Lack of transportation

Lack of child care

Instable housing

Not ready to quit

The pros of use
outweigh the cons

Time conflicts

HEDIS measure: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (IET)



Assesses adults and adolescents 13 years of age and older with a new episode of alcohol or other drug (AOD) dependence who received the following:

- *Initiation of AOD Treatment:* Adolescents and adults who initiated treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or medication-assisted treatment (MAT) within 14 days of diagnosis.
- *Engagement of AOD Treatment:* Adolescents and adults who initiated treatment and had two or more additional AOD services or MAT within 34 days of the initiation visit.

Consider replacing the RT with continued follow up, management, etc.

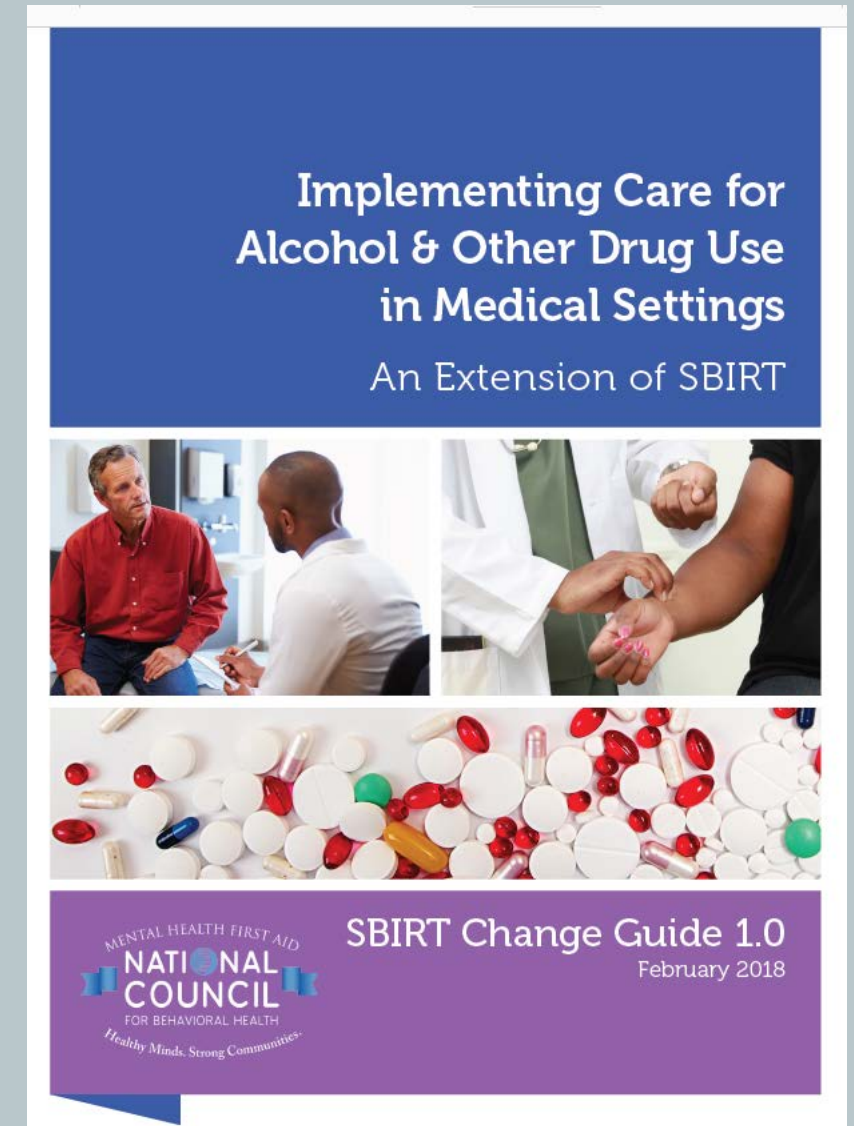
A continuing cycle of:

- Collaborative tracking of patient-selected metrics
- Eliciting pt-driven harm reduction goals
- Discussing safer-use strategies



Example of RT reform:

- SBIRT Change Guide renames RT “Management of SUDs”
- Defined as “offering patients shared decision-making about five types of options”:
 - Medications for SUDs, one-on-one therapy, peer support groups, group-based treatment, ‘possible self-management’ with monitoring and support
- Proposes metric of a follow up visit within 90 days



Takeaways

We can use SBIRT to help pts with SUDs by:

- Being mindful of stigma
- Adopting a HR philosophy
- Use a HR-informed brief intervention
- Replace the RT with ongoing support and follow up



Thanks!

Questions or comments?

Jim Winkle

jimwinkle@gmail.com



T-shirt for sale
Iowa Harm Reduction
Coalition